



Face Recognition Grand Challenge

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NIST

Sponsors:

ITIC

TWSG

FBI

21 Sept 2004

Grand Challenge Evaluation Team

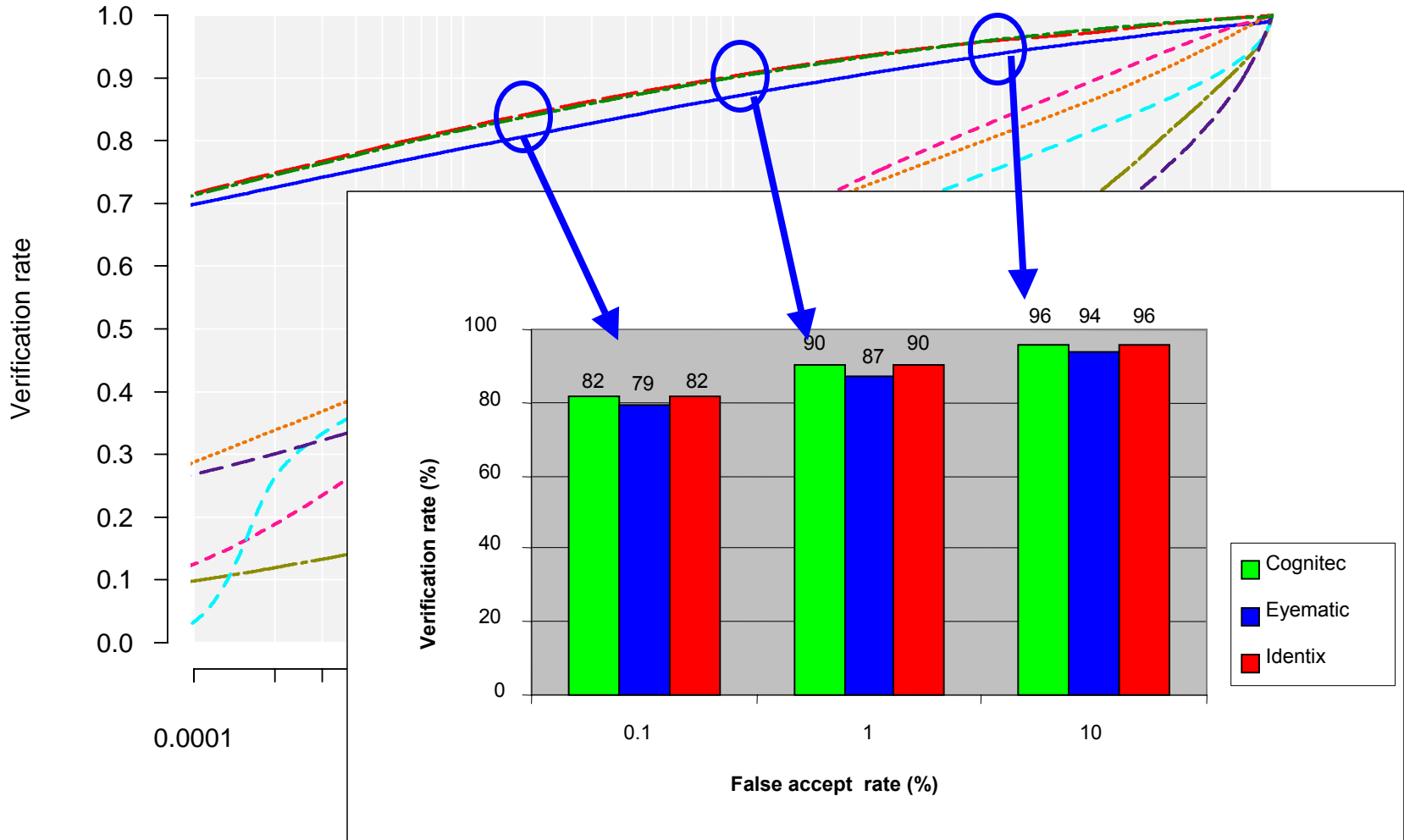


- P. Jonathon Phillips—Director, FRGC
- Patrick Flynn—Notre Dame
- Todd Scruggs—SAIC
- Joe Marques—Mitre
- Kevin Bowyer—Notre Dame
- Jin Chang—Notre Dame
- Kevin Hoffman—SAIC
- Jaesik Min—Notre Dame
- William Worek—SIAC



Face Recognition Grand Challenge

Develop still and 3D algorithms to improve performance an order of magnitude.





Select Point to Measure

- **Verification rate at :**
 - False accept rate = 0.1%
- **Current:**
 - 20% error rate (80% verification rate)
- **Goal:**
 - 2% error rate (98% verification rate)

Measuring Accuracy w/Error Rate of 2%



- **Non-match scores:**
 - Sufficient
- **Match scores:**
 - Need to design collection for sufficient number

1,000 match scores = ~ 20 errors

10,000 match scores = ~ 200 errors

50,000 match scores = ~ 1,000 errors

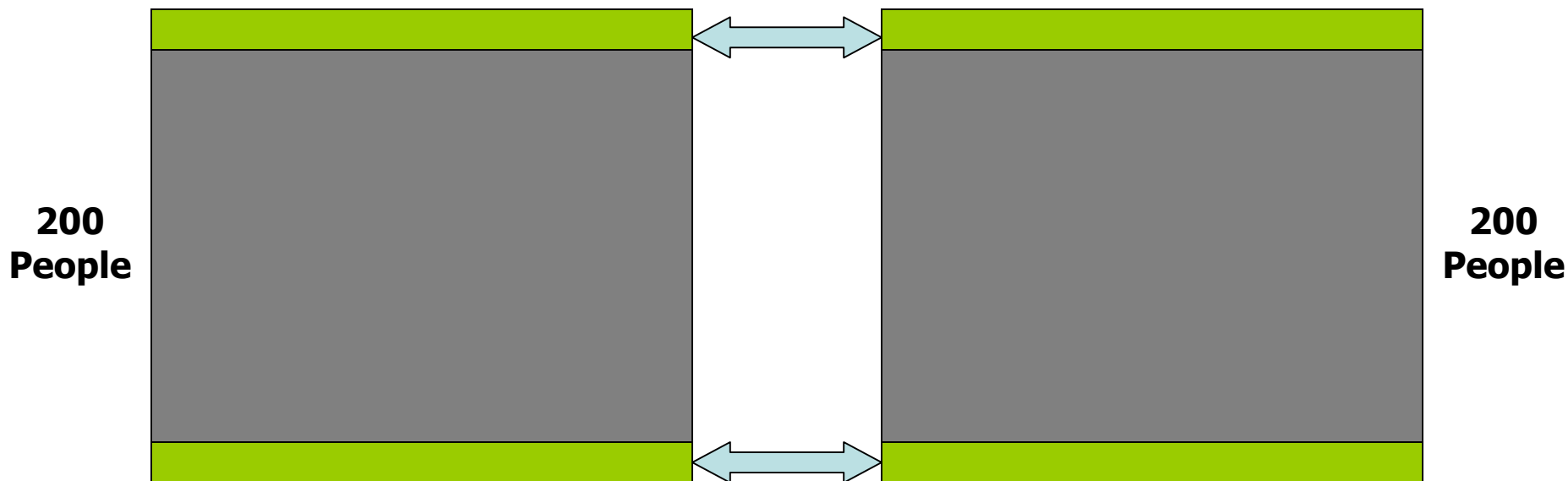
- Allows for error ellipses
- Minimal demographic analysis

Data Collection



**Fall Semester
(Gallery)
15 Weeks**

**Spring Semester
(Probes)
15 Weeks**



All match scores \sim 50,000

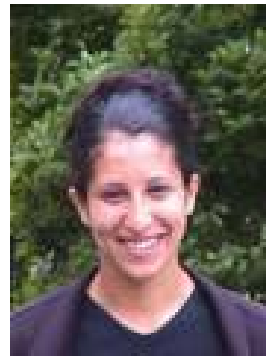
Modes Examined



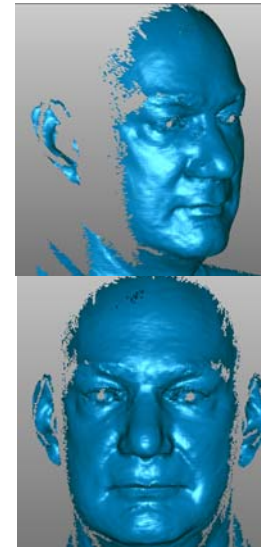
Single Still



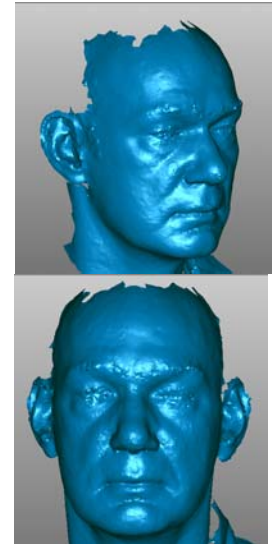
Multiple Stills



**Outdoor/
Uncontrolled**



**3D Single
view**

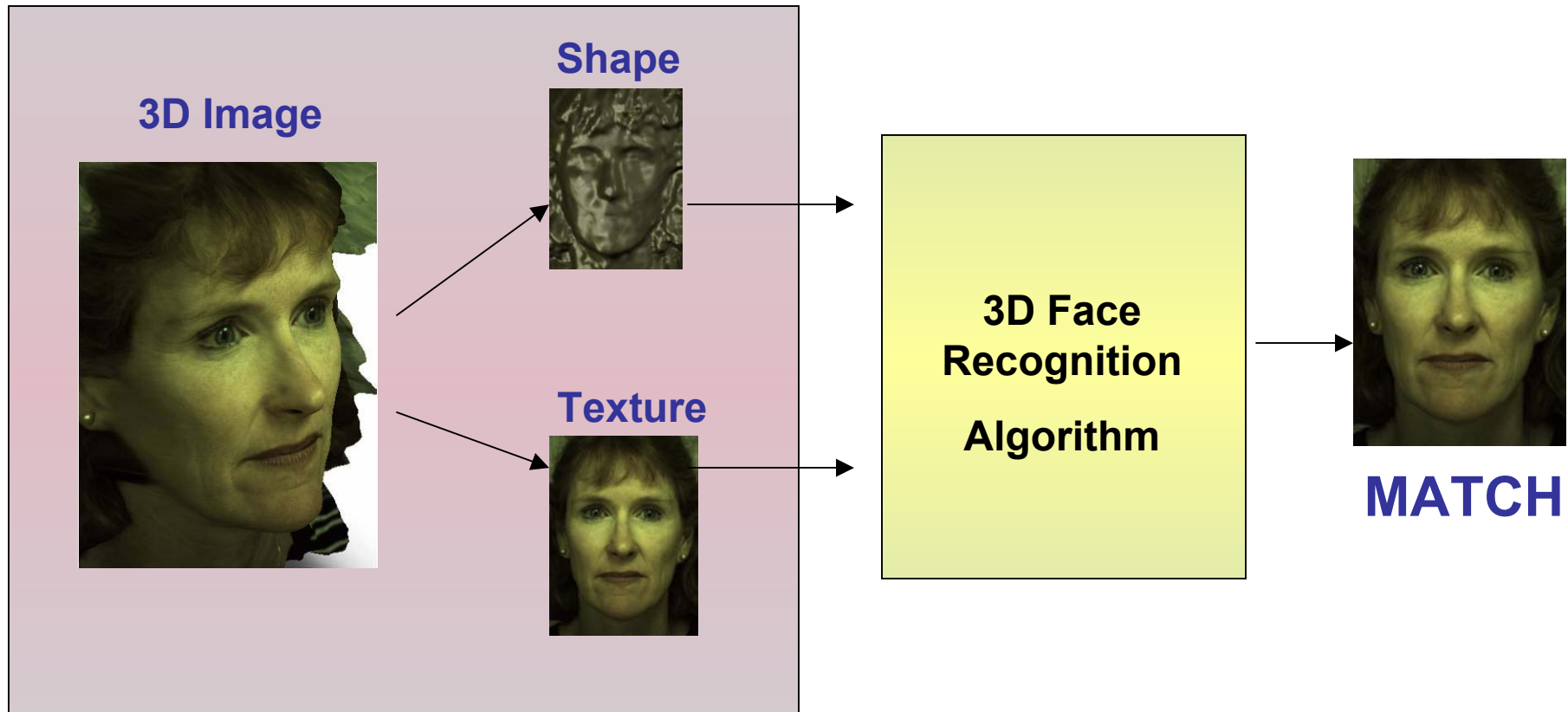


3D Full Face

3D Images



3D Sensor





Measure Progress on:

- Indoor cooperative face recognition
- Outdoor cooperative face recognition
- Comparison of still & 3D face recognition
- Effect of multiple images
- Effect of High Dynamic Range cameras on outdoor face recognition
- Comparison between human and machine performance

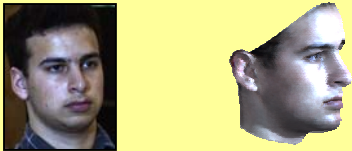
Grand Challenge Architecture



Accuracy of: 3D Sensors



3D from stills

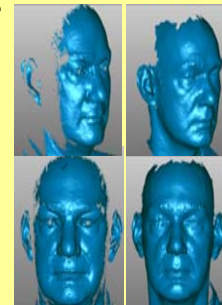


Comparison

Algorithms/
Systems



Modes



Preprocessing/
Reconstruction
Compression

Image Quality
Measures

Meta data

- eye coordinates
- pose
- gender

Human
Performance

Advanced
Statistical
Analysis

Programmatic



- **Two Challenge Problems**
 - Facilitate development
 - Systematically measure progress
- **FR Challenge Grand Challenge Evaluation**
 - Independent evaluation Aug/Sep '05



Challenge Problem

- **Experimental Data set**
 - Training set
 - Validation set
- **Set of Experiments**
 - Target & Query sets
- **Biometric Experimentation Environment (BEE)**
 - Infrastructure for Experiments
- **Scoring Routines**
- **Baseline Algorithms**



Two Challenge Problems

- **Ver1.0a**
 - Released 5 May 2004
 - 275 Subjects; 943 Subject sessions; 7544 Recordings

- **Ver2.0**
 - Release 27 September 2004
 - 625 Subjects; 50,000 Recordings; 70 Gbytes

Grand Challenge Schedule



Date	Activity
Aug-Sep '05	Face Recognition Grand Challenge Evaluation
Jun '05	Proposed FRGC Workshop at CVPR
Sep '04-May '05	Collect evaluation data - Notre Dame
Feb '05	Third challenge problem workshop
27 September '04	Release challenge problem v2.0
10 September '04	Second challenge problem workshop <ul style="list-style-type: none">• Participants present results
Jan '02-May '04	Collect data for challenge problem v2.0 - Notre Dame
5 May '04	Release challenge problem v1.0
5 May '04	First challenge problem workshop <ul style="list-style-type: none">• Explain challenge problem in detail



Summary of Status

- 42 Participant groups
- 23 Participant groups attending 2nd workshop
- 107 People on bbs
- 75 People attending 2nd workshop

Results Submitted



- **10 Groups submitted by 10 August**

- Carnegie Mellon University
- Cognitec Systems Corp
- Geometrix
- Identix Corp
- Neven Vision
- New Jersey Institute of Technology
- Rutgers
- University of Houston
- University of Maryland
- Viisage Technology

- **3 Groups submitted since 20 August**

Number of Results by Experiment



	Exp 1	Exp 2	Exp 3	Exp 4	Exp 5	Exp 6
Number	12	3	6	9	1	1

Total Experiments: 32

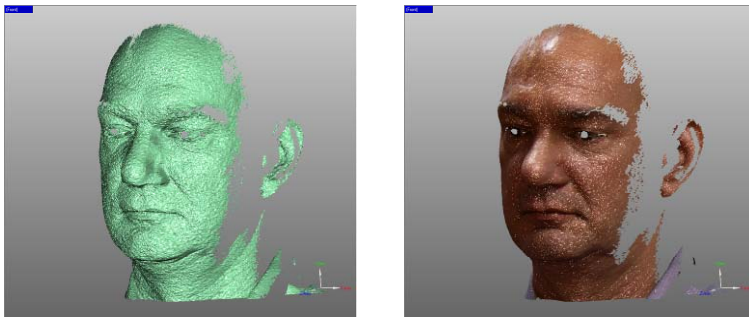
Example subject session



Controlled Still

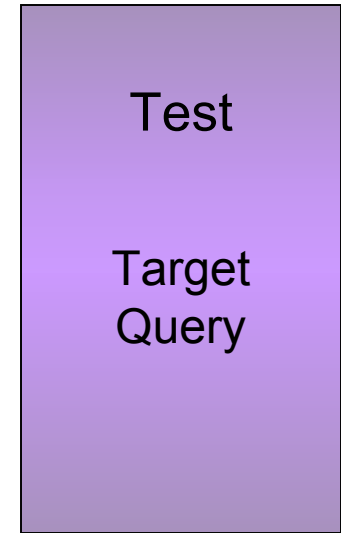
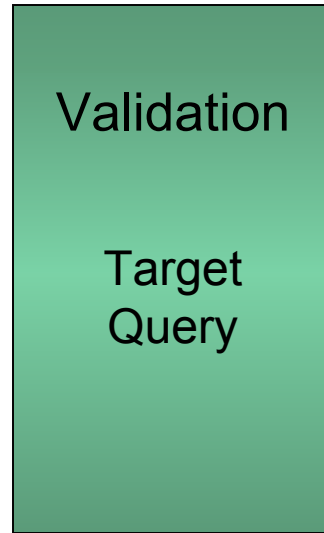
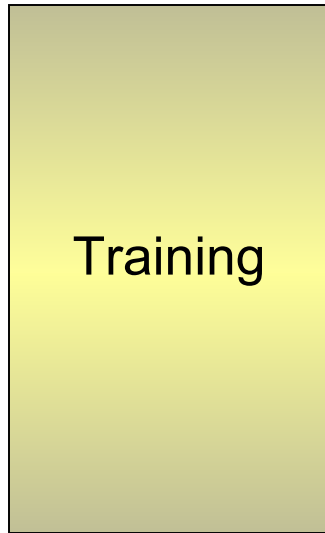


Uncontrolled Still



3D Image

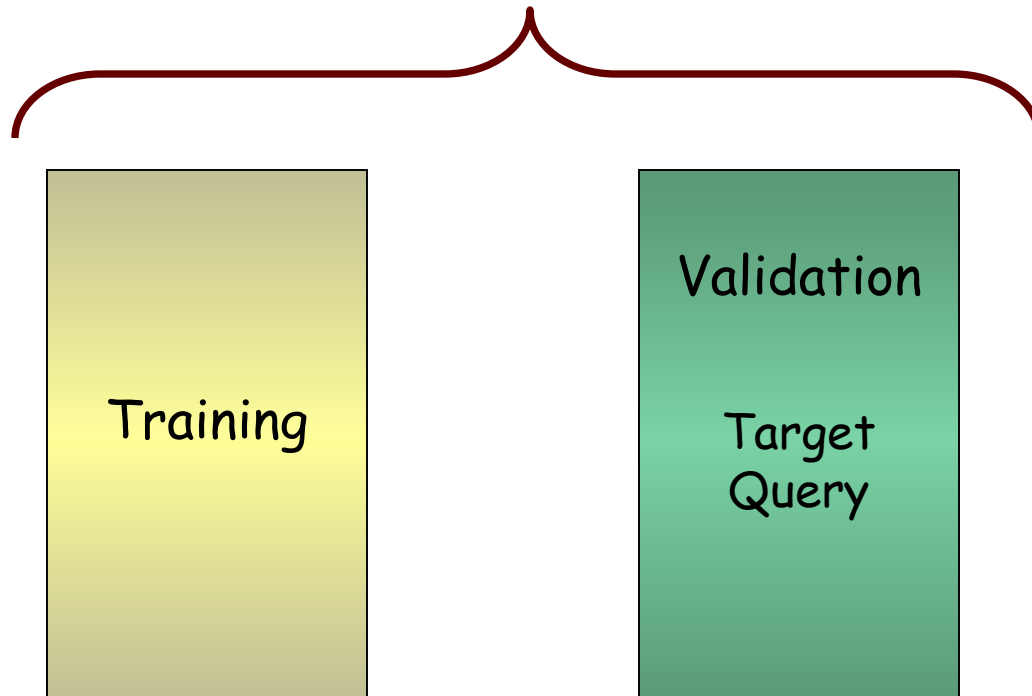
Three Data Sets



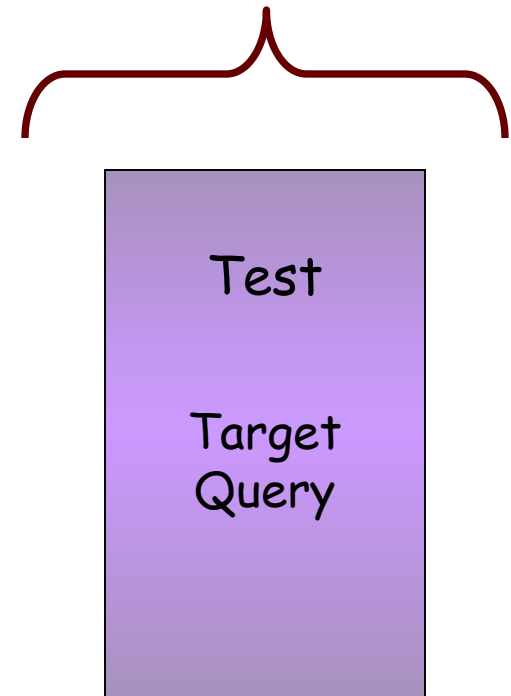
Three Data Sets



FRGC Challenge Problem



FRGC Evaluation
Sequestered



Training and Validation Partitions



Training

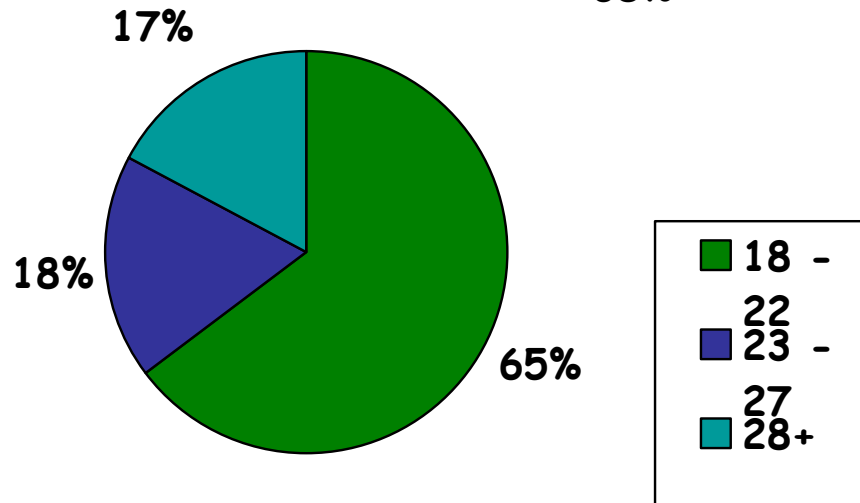
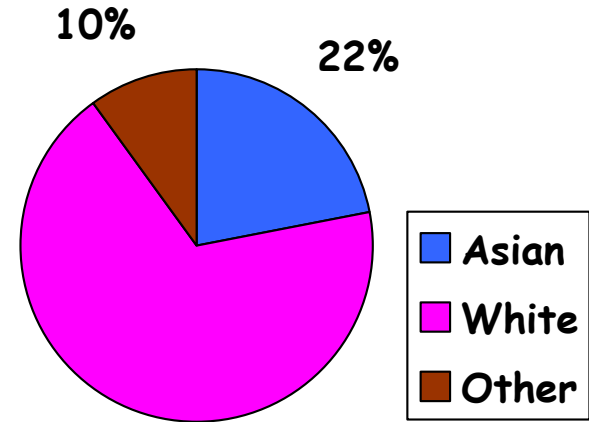
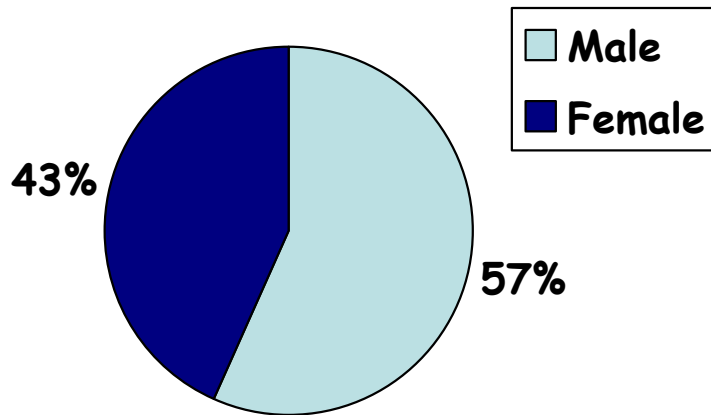
- Academic Year 2002-03
- 12,776 Large Still Training
- 943 3D Subject Sessions

Validation

- Academic Year 2003-04
- 16,028 Controlled Stills
- 8,014 Uncontrolled Stills
- 4,007 3D Scans

Demographics

(ver2.0 Validation Partition)



Size of Faces

(ver2.0 Validation Partition)



Pixels between center of eyes

	Mean	Median	Std
Controlled	261	260	19
Uncontrolled	144	143	14
3D	160	161	15

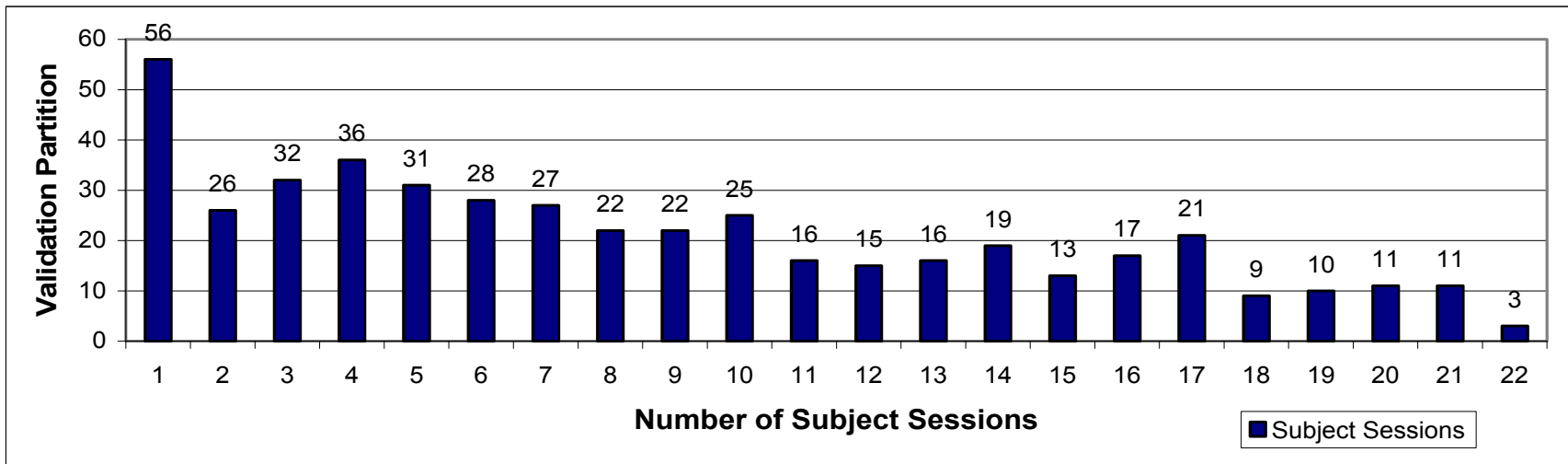
Target / Query Sets

(ver2.0 Validation Partition)



466 Subjects; 4,007 Subject sessions; 32,056 Recordings

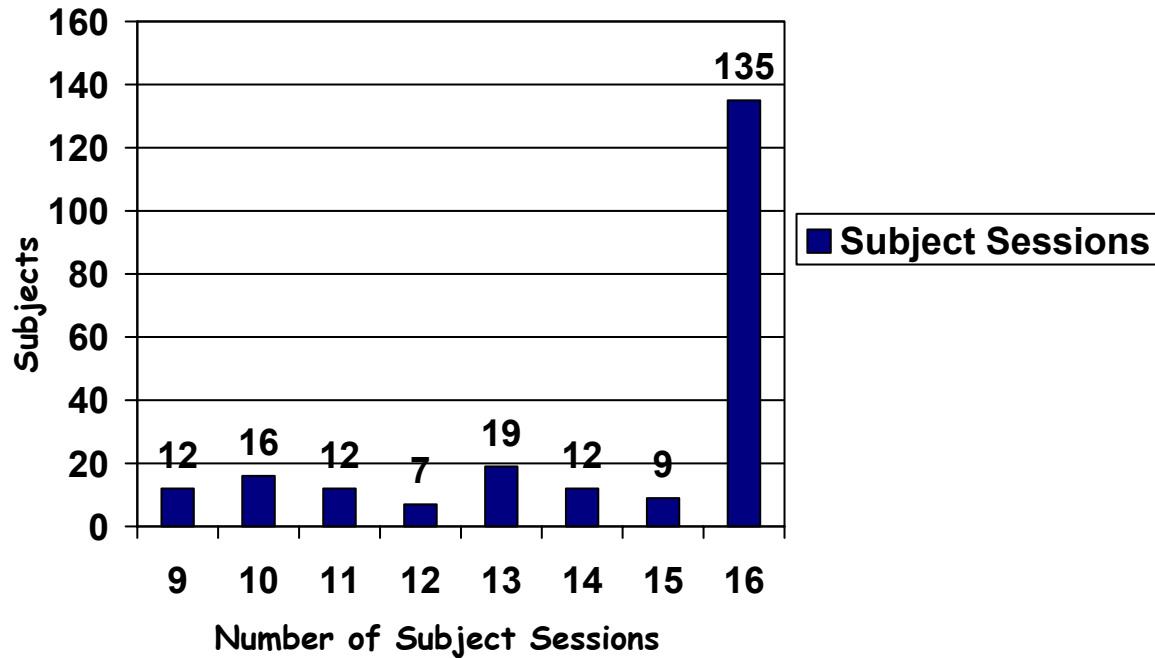
Subject Sessions 2003-04



Large Still Training Set



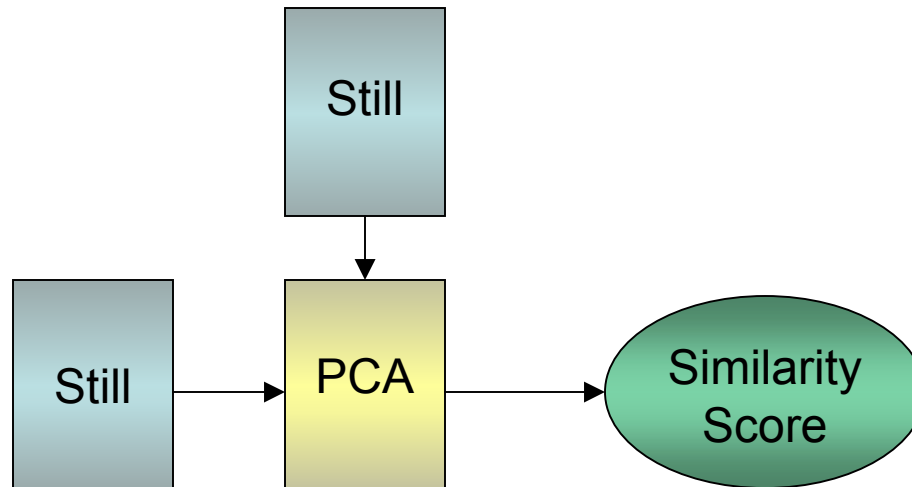
222 Subjects; 100 Subject sessions; 12,776 Recordings



Baseline Algorithms



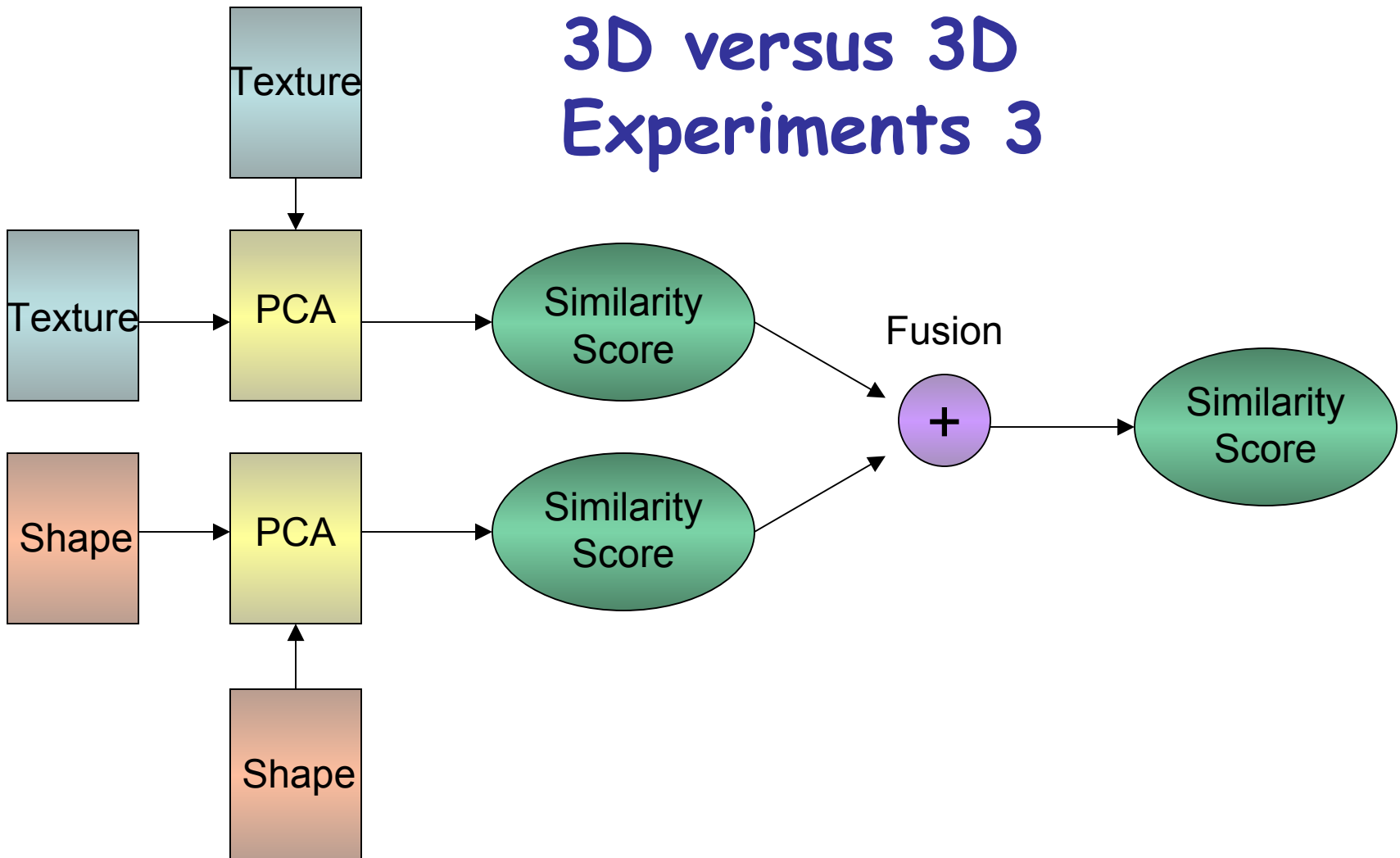
Still versus Still Experiments 1 and 4



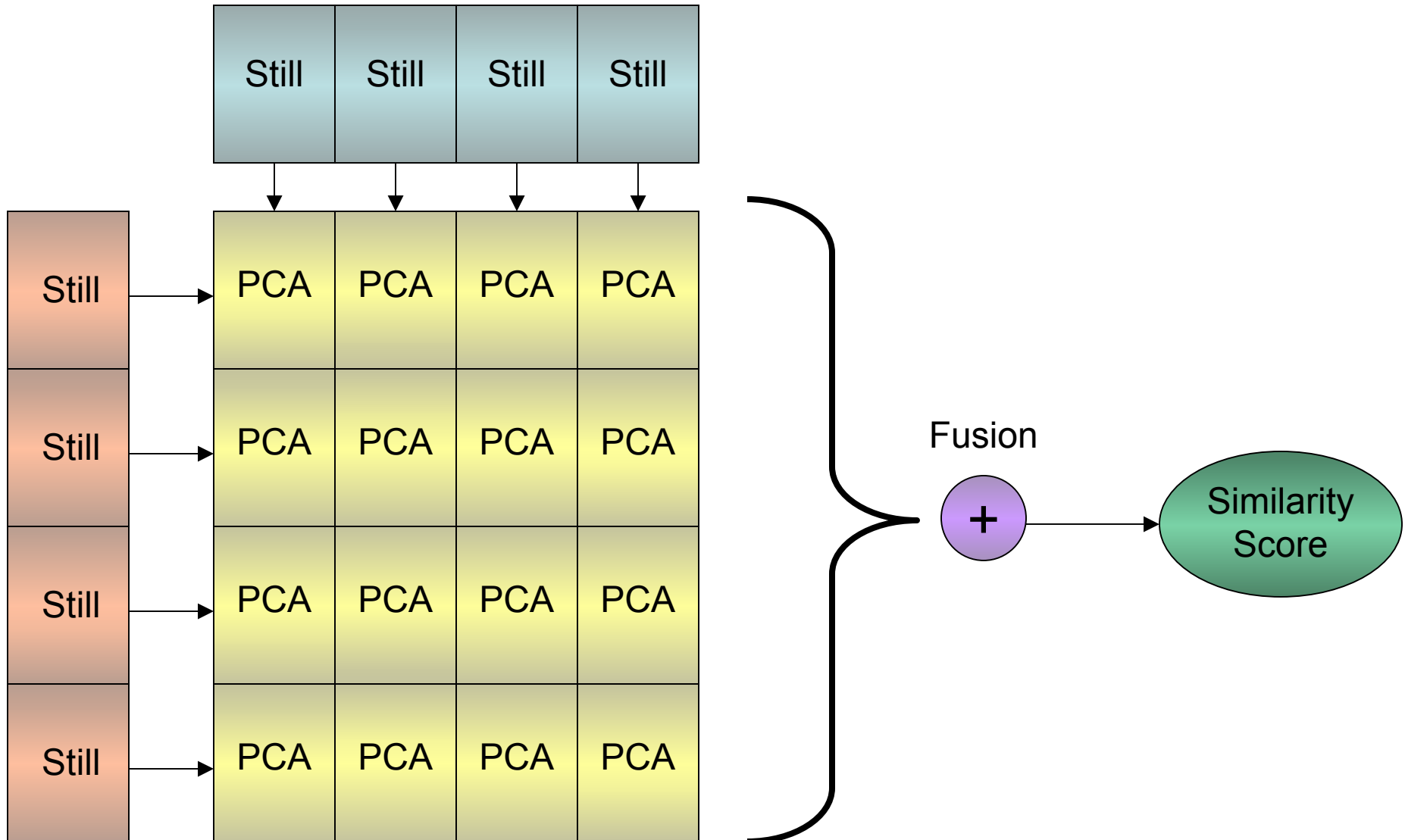
Baseline Algorithm



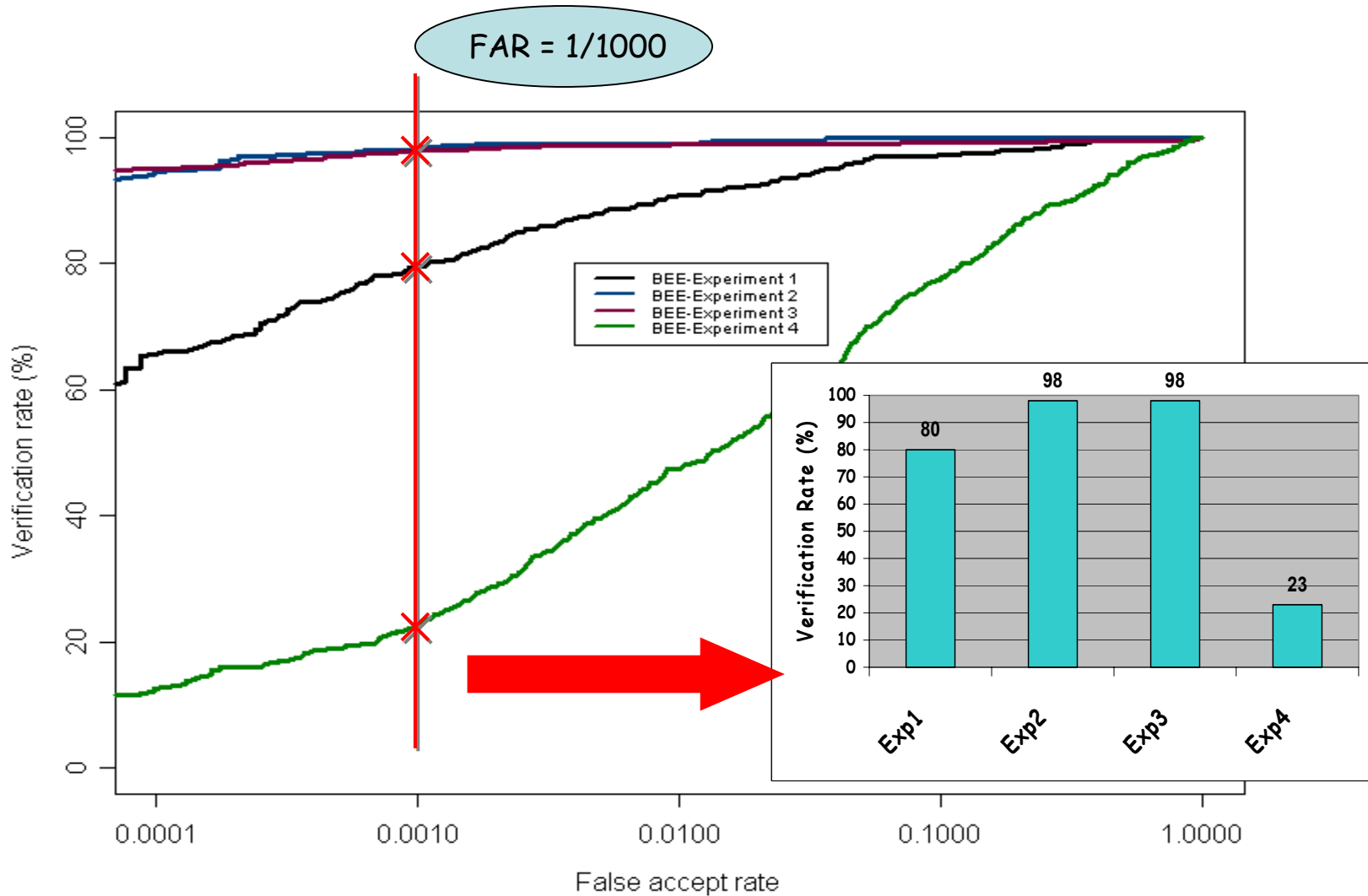
3D versus 3D Experiments 3



Multi-still versus Multi-still Experiment 2



Baseline (PCA) Performance

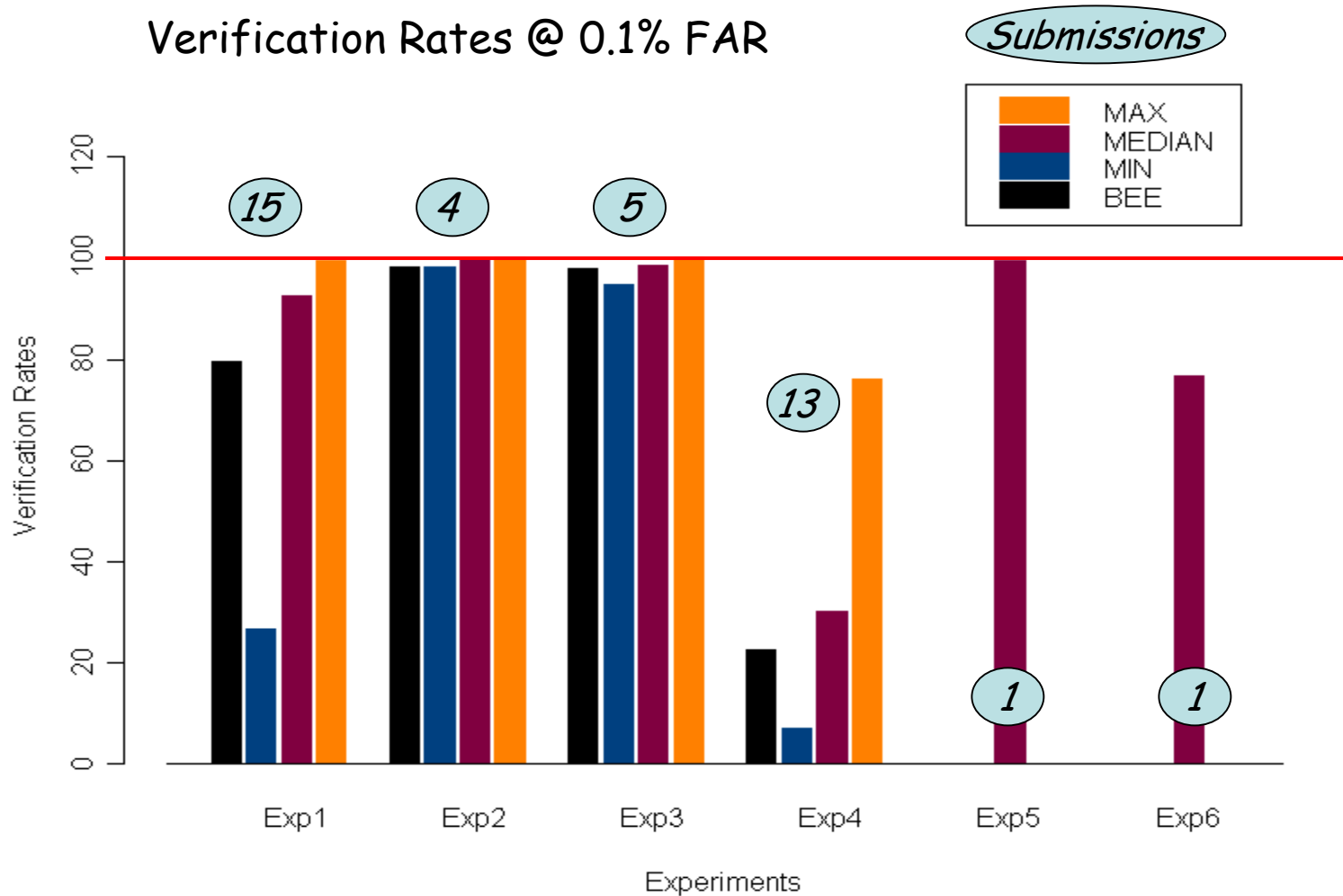


Ver 1.0a—Warm-up Problem

Very Easy



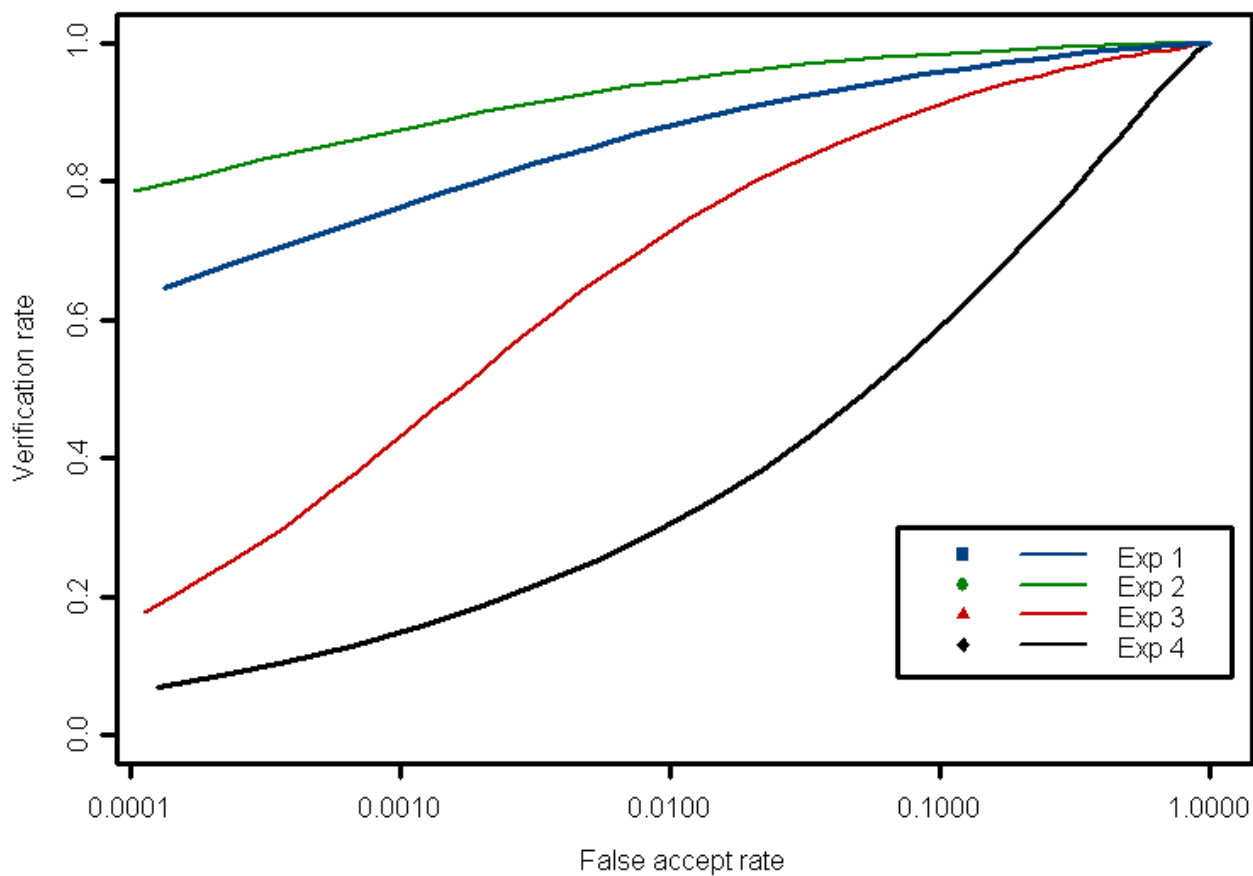
Verification Rates @ 0.1% FAR



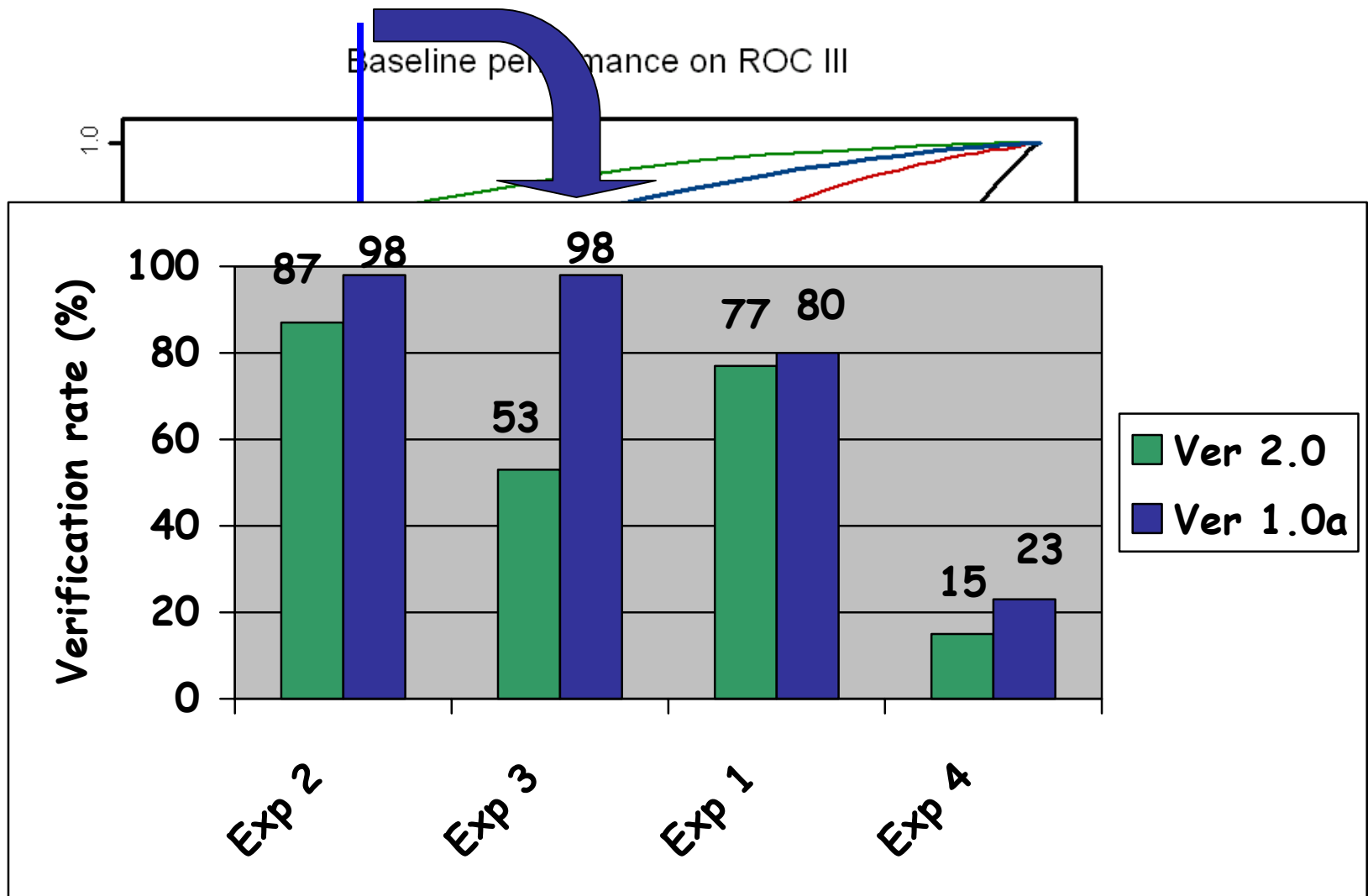
Ver2.0 Baseline



Baseline performance on ROC III



Ver2.0 Baseline FAR = 0.1%





Bowyer's Conjecture I

One 3-D image is *more powerful* for face recognition than one 2-D image.



Phillips' Conjecture I

One high resolution 2-D image is *more powerful* for face recognition than one 3-D image.



Bowyer's Conjecture II

Using 4 or 5 well-chosen 2-D face images is *more powerful* for recognition than one 3-D face image or multi-modal 3D+2D face.

Phillips' Conjecture II



Solution to the FRGC will cause rethinking of how face recognition is deployed.



TSWG Section

Presentations by FRGC
participants!!



Two Questions:

Q: Who can participate?

A: FRGC is open to Academia, Research Institutions, and Companies

Q: How do I sign up?

A: E-mail Jonathon Phillips at jonathon@nist.gov

Conclusion



- **Face Recognition Grand Challenge**
 - Order of magnitude increase in performance
 - Systematically investigate still and 3D
 - Formulate series of challenge problems
 - Face Recognition Grand Challenge Evaluation Aug/Sep '05